



Minnesota Department of Transportation

395 John Ireland Boulevard
Saint Paul, MN 55155

Date: December 1, 2016

Ms. Arlene Kocher
Division Administrator
Federal Highway Administration
380 Jackson St., Suite 500
St. Paul, MN 55101-4802

RE: Disadvantaged Business Enterprise (DBE) FHWA Semi Annual Report for the Second Half of
Federal Fiscal Year 2016 and Annual Report for the Federal Fiscal Year 2016

Dear Ms. Kocher:

Enclosed is the Minnesota Department of Transportation's Uniform Report of DBE Commitments/Awards and Payments on Ongoing Contracts and Completed Contracts for federally-funded projects during the second half of 2016 federal fiscal year. Also attached is the annual report for the entire 2016 federal fiscal year.

The overall rate of DBE commitments/awards for the 2016 federal fiscal year was 8.5%. The achieved goal of 8.5% demonstrated the Department's efforts to achieve the annual statewide goal of 11.7%.

We will forward our Shortfall Analysis within the next two weeks.

If you have questions regarding the reports, please contact me at 651-366-3150.

Sincerely,



D. Kimberly Collins

Director
Office of Civil Rights

Enclosures

cc: Kris Riesenbergh, FHWA, Minnesota Division
David Scott, FHWA, Minnesota Division
Mary Walker, FHWA, Minnesota Division
Charles Zelle, Commissioner
Betsy Parker, Chief Counsel

An Equal Opportunity Employer



UNIFORM REPORT OF DBE COMMITMENTS/AWARDS AND PAYMENTS

Please refer to the instruction sheet for directions on filling out this form

1 Submitted to (check only one)	<input checked="" type="checkbox"/> FHWA	<input type="checkbox"/> FAA	<input type="checkbox"/> FTA - Recipient ID Number
2 AIP Numbers (FAA Recipients); Grant Number (FTA Recipients):			
3 Federal Fiscal year in which reporting period falls	FY 2016	4. Date This Report Submitted: 12/1/2016	
5 Reporting Period	<input type="checkbox"/> Report due June 2 (for period Oct 1-Mar 31)		<input checked="" type="checkbox"/> Report due Dec 1 (for period April 1-Sep 30)
6 Name and address of Recipient:	Minnesota Department of Transportation		
7 Annual DBE Goal(s):	Race Conscious Projection: 5.5%	Race Neutral Projection: 6.2%	OVERALL Goal: 11.7%

Awards/Commitments this Reporting Period

A	AWARDS/COMMITMENTS MADE DURING THIS REPORTING PERIOD (Total contracts and subcontracts committed during this reporting period)									
	Total Dollars	Total Number	Total to DBEs (dollars)	Total to DBEs (number)	Total to DBEs/Race Conscious (dollars)	Total to DBEs/Race Conscious (number)	Total to DBEs/Race Neutral (dollars)	Total to DBEs/Race Neutral (number)	Percentage of total dollars to DBEs	
8 Prime contracts awarded this period	\$ 249,707,812	153	\$ 124,452	1			\$ 124,452	1	0.0%	
9 Subcontracts awarded/committed this period	\$ 64,924,384	693	\$ 19,533,466	349	\$ 17,480,303	323	\$ 2,053,164	26	30.1%	
10 TOTAL			\$ 19,657,918	350	\$ 17,480,303	323	\$ 2,177,615	27	7.9%	

BREAKDOWN BY ETHNICITY & GENDER

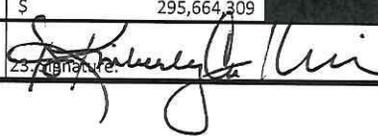
B	BREAKDOWN BY ETHNICITY & GENDER								
	A			B			C		
	Total to DBE (dollar amount)			Total to DBE (number)					
	Women	Men	Total	Women	Men	Total			
11 Black American	\$ 37,909	\$ 481,390	\$ 519,299	2	11	13			
12 Hispanic American	\$ 6,818	\$ 634,333	\$ 641,151	2	13	15			
13 Native American	\$ 25,814	\$ 1,459,068	\$ 1,484,883	1	8	9			
14 Asian-Pacific American	\$ 38,306	\$ 705,463	\$ 743,769	3	8	11			
15 Subcontinent Asian Americans	-	\$ 207,079	\$ 207,079	0	6	6			
16 Non-Minority	\$ 16,061,737	-	\$ 16,061,737	296	0	296			
17 TOTAL	\$ 16,170,584	\$ 3,487,334	\$ 19,657,918	304	46	350			

Payments Made this Period

C	PAYMENTS ON ONGOING CONTRACTS					
	Total Number of Contracts	Total Dollars Paid	Total Number of Contracts with DBEs	Total Payments to DBE firms	Total Number of DBE firms Paid	Percent to DBEs
18 Prime and subcontracts currently in progress	497	\$ 351,583,410	154	\$ 24,867,231	69	7.1%

D	TOTAL PAYMENTS ON CONTRACTS COMPLETED THIS REPORTING PERIOD				
	Number of Contracts Completed	Total Dollar Value of Contracts Completed	DBE Participation Needed to Meet Goal (Dollars)	Total DBE Participation (Dollars)	Percent to DBEs
19 Race Conscious	81	\$ 284,903,069	\$ 20,264,852	\$ 18,930,395	6.6%
20 Race Neutral	79	\$ 10,761,240		\$ 478,585	4.4%
21 Totals	160	\$ 295,664,309		\$ 19,408,980	6.6%

22 Submitted by: D. Kimberly Collins


 23. Signature

24. Phone Number: (651) 366-3135 3150

UNIFORM REPORT OF DBE COMMITMENTS/AWARDS AND PAYMENTS

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Awards/Commitments this Reporting Period

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		8	Prime contracts awarded this period	\$ 471,128,638	263	\$ 1,248,550	6			\$ 1,248,550
9	Subcontracts awarded/committed this period	\$ 163,856,702	1706	\$ 39,007,667	648	\$ 35,601,640	581	\$ 3,406,027	67	23.8%
10	TOTAL			\$ 40,256,217	654	\$ 35,601,640	581	\$ 4,654,577	73	8.5%

BREAKDOWN BY ETHNICITY & GENDER

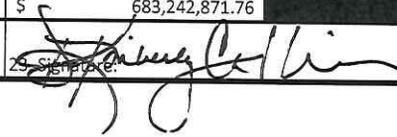
B	ETHNICITY & GENDER	Total to DBE (dollar amount)			Total to DBE (number)		
		Women	Men	Total	Women	Men	Total
		11	Black American	\$ 37,909	\$ 945,476	\$ 983,385	2
12	Hispanic American	\$ 30,207	\$ 883,342	\$ 913,549	5	21	26
13	Native American	\$ 927,478	\$ 2,155,161	\$ 3,082,639	2	18	20
14	Asian-Pacific American	\$ 536,887	\$ 730,678	\$ 1,267,565	5	13	18
15	Subcontinent Asian Americans	\$ -	\$ 521,751	\$ 521,751	0	14	14
16	Non-Minority	\$ 33,487,329	\$ -	\$ 33,487,329	551	0	551
17	TOTAL	\$ 35,019,810	\$ 5,236,408	\$ 40,256,217	565	89	654

Payments Made this Period

C	PAYMENTS ON ONGOING CONTRACTS	Total Number of Contracts	Total Dollars Paid	Total Number of Contracts with DBEs	Total Payments to DBE firms	Total Number of DBE firms Paid	Percent to DBEs
		18	Prime and subcontracts currently in progress	784	\$ 566,001,664.93	280	\$ 41,606,160.18

D	TOTAL PAYMENTS ON CONTRACTS COMPLETED THIS REPORTING PERIOD	Number of Contracts Completed	Total Dollar Value of Contracts Completed	DBE Participation Needed to Meet Goal (Dollars)	Total DBE Participation (Dollars)	Percent to DBEs
		19	Race Conscious	165	\$ 625,477,686.65	\$ 41,695,691.24
20	Race Neutral	180	\$ 57,765,185.11		\$ 1,462,109.61	2.5%
21	Totals	345	\$ 683,242,871.76		\$ 50,198,059.29	7.3%

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